

2821
8/19/04
P&H
#10

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Philip Ashton et al.
Serial No. : 10/080,846
Filed : February 22, 2002
Title : EVALUATING AN ORGANIZATION'S LEVEL OF SELF REPORTING

Art Unit :
Examiner :

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Copies of the references listed on the attached form PTO-1449 are enclosed. A copy of a PCT Search Report from the U.S. Patent Office acting as the International Search Authority (ISA) in a counterpart application also is enclosed.

This statement is being filed before a first Office action on the merits. Each listed item was cited in the enclosed search report, dated May 29, 2003, which is not more than three months before the filing of this statement.

Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 6/4/03

Samuel Borodach
Samuel Borodach
Reg. No. 38,388

Fish & Richardson P.C.
45 Rockefeller Plaza, Suite 2800
New York, New York 10111
Telephone: (212) 765-5070
Facsimile: (212) 258-2291

30149713.doc

RECEIVED
DEC 23 2003
GROUP 3600

RECEIVED
JUN 12 2003
TECHNOLOGY CENTER 2800

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

June 4, 2003
Date of Deposit
Philip Ashton
Signature
Philip Ashton
Typed or Printed Name of Person Signing Certificate

Substitute Form PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark OfficeAttorney's Docket No.
13325-002001Application No.
10/080,846**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant
Philip Priestly Ashton et al.Filing Date
February 22, 2002

Group Art Unit

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,737,494	April 7, 1998	Guinta et al.			
	AB	5,189,608	Feb 23, 1993	Lyons et al.			
	AC	6,327,571	Dec 4, 2001	Khayat et al.			
	AD	6,161,101	Dec 12, 2000	Guinta et al.			
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.